# Alternating Projection-Based Iterative Learning Control for Repetitive Systems with Varying Trial Lengths and Practical Input Constraints

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- Iterative learning control
- Varying trial length problem
- 3 Alternating projection-based ILC
- 4 Conclusion and Future work
- 6 Acknowledgments

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# Iterative learning control (ILC)

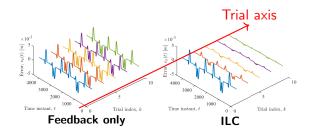
- Application examples
  - Gantry crane
  - Medical rehabilitation
  - Injection molding
  - Robotic arm
- Goal
  - Perfect tracking by ILC
- Insights
  - Repetitive
  - Learning
- Reduce repetitive disturbances!







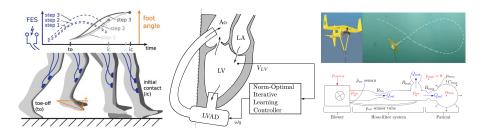




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## Repetitive systems with varying trial lengths

- Foot motion assist device<sup>1</sup>
- Left ventricular assist device<sup>2</sup>
- Marine hydrokinetic energy system<sup>3</sup>
- Mechanical ventilator<sup>4</sup>



<sup>&</sup>lt;sup>1</sup>Thomas Seel et al. "Monotonic convergence of iterative learning control systems · · · ". In: Int. J. Control. (2017).

<sup>&</sup>lt;sup>2</sup>Maike Ketelhut et al. "Iterative learning control of ventricular assist devices · · · ". In: Control Eng. Pract. (2019).

<sup>&</sup>lt;sup>3</sup>Mitchell Cobb et al. "Flexible-time receding horizon iterative learning · · · ". In: IEEE Trans. Control Syst. Technol. (2022).

<sup>4</sup>Joev Reinders et al. "Triggered repetitive control: Application to · · · ". In: IEEE Trans. Control Syst. Technol. (2023).

- Missing information for learning
  - Extra design for learning efficiency

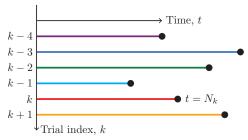
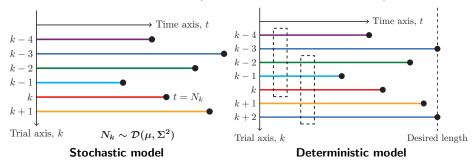


Illustration of varying trial length problem

## Missing information for learning

- Extra design for learning efficiency
  - Model assumption (Stochastic<sup>5,6,...</sup>, deterministic<sup>7,8,...</sup>)



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<sup>&</sup>lt;sup>5</sup>Xuefang Li et al. "An iterative learning control approach for linear systems · · · ". In: IEEE Trans. Autom. Control. (2014)

<sup>&</sup>lt;sup>6</sup>Dong Shen et al. "On almost sure and mean square convergence of P-type ILC · · · ". In: *Automatica*. (2016)

<sup>&</sup>lt;sup>7</sup>Thomas Seel et al. "Monotonic convergence of iterative learning control systems · · · ". In: *Int. J. Control.* (2017)

<sup>8</sup>Devuan Meng et al. "Deterministic convergence for learning · · · ". In: *IEEE Trans. Neural Netw. Learn. Syst.* (2018)

## Missing information for learning

- Extra design for learning efficiency
  - Model assumption (Stochastic, deterministic)
  - Information compensation (zero<sup>5</sup>, prediction<sup>6,7</sup>, no compensation<sup>8,9</sup>,

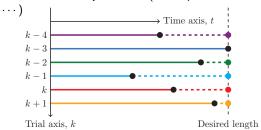


Illustration of compensations

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 $<sup>^5</sup>$ Dong Shen et al. "On almost sure and mean square convergence of P-type ILC  $\cdots$ ". In: *Automatica*. (2016)

<sup>&</sup>lt;sup>6</sup>Na Lin et al. "Auxiliary predictive compensation-based ILC · · · ". In: IEEE Trans. Syst., Man, Cybern., Syst. (2019).

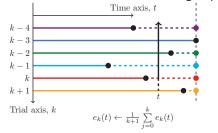
<sup>&</sup>lt;sup>7</sup>Lele Ma et al. "Event-based switching iterative learning model predictive control · · · ". In: IEEE Trans. Cybern. (2023).

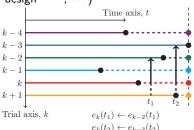
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<sup>&</sup>lt;sup>9</sup>Chen Liu et al. "Optimal learning control scheme for discrete-time · · · ". In: IEEE Transactions on Cybernetics (2022).

## Missing information for learning

- Extra design for learning efficiency
  - Model assumption (Stochastic, deterministic)
  - Information compensation (zero, prediction, no compensation, ...)
  - Design mechanisms (iteration-averaging<sup>5</sup>, most recent one-order<sup>6</sup>, event-based switching<sup>7</sup>, optimal design<sup>8,9,...</sup>, ...)





#### Iteration-averaging

#### Most recent one-order

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<sup>9</sup>Chen Liu et al. "Optimal learning control scheme for discrete-time · · · · . In: IEEE Transactions on Cybernetics (2022).

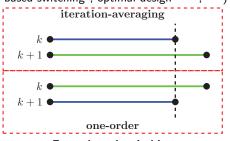
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#### **Event-based switching**

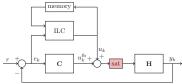
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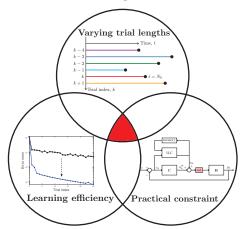
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- Missing information for learning → Optimization-based ILC
  - Extra design for learning efficiency
    - Model assumption (Stochastic, deterministic)
    - Information compensation (zero, prediction, no compensation, ...)
    - Design mechanisms (iteration-averaging, most recent one-order, event-based switching, optimal design, · · · )
  - Modified convergence analysis
    - Contraction mapping (linear or globally Lipschitz continuous non-linear systems)
    - Lyapunov-based composite energy function (locally Lipschitz continuous non-linear systems)
    - Variational analysis (fractional order systems)
- Practical input constraints  $\rightarrow$  Constraint-aware ILC



# Why alternating projection-based design?

- Alternating projection-based design
  - Intuitively and customizably geometric interpretation of problem
  - Hilbert space-enabled optimization methods
  - Practical constraint handling



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  - #0 Alternating projections in Hilbert space
  - #1 Alternating projection-based ILC using multiple sets
  - #2 Stochastic-optimization ILC via alternating projections
  - #3 Constraint-aware ILC via alternating projections
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# #0 Alternating projections in Hilbert space

## Example

- $H = R^2$
- z = (x, y) powered by Cartesian product
- Two convex sets

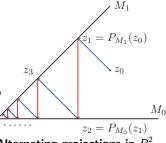
• 
$$M_1 = \{(x, y) \in R^2 : y = x\}$$

• 
$$M_0 = \{(x, y) \in R^2 : y = 0\}$$

• 
$$z_{k+1} = P_{M_0, M_1}(z_k) \triangleq \arg\min_{z \in M_0, M_1} ||z - z_k||_H^2$$

•  $\{z_k\}_{k>0}$  converges to  $z^* = M_1 \cap M_0$ 

• High dimensions:  $x \in R^n$  and  $y \in R^m$ 



#### Extensions

Alternating projections in  $R^2$ 

- More sets:  $M_0, M_1, M_2, \cdots, M_I$
- vs. ILC
  - Proximity algorithm: iterate to find a solution (Learning)
  - Full model inverse for one step convergence:  $z^* = P_{M_1 \cap M_2}(z_0)$
  - Projection: optimal ILC design

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## #1 Problem formulation

- **Motivation:** Optimal ILC design for learning efficiency
- Lifted system with varying trial lengths

$$\begin{cases}
 y_{k} = Gu_{k}, u_{k} \in \ell_{2}^{l}[0, N-1], y_{k} \in \ell_{2}^{m}[1, N], \\
 e_{k} = F_{k} (r - y_{k}), \\
 F_{k} = \begin{bmatrix}
 I_{N_{k}} \otimes I_{m} & 0 \\
 0 & 0_{N_{d}-N_{k}} \otimes 0_{m}
\end{bmatrix}.
\end{cases} (1)$$

$$e_k = \left[\underbrace{e_k^{\mathrm{T}}(1), \cdots, e_k^{\mathrm{T}}(N_k), 0, \cdots, 0}^{N_d}\right]^{\mathrm{T}} \stackrel{k-4}{\underset{k-3}{\overset{k-3}{\underset{k-1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k-1}{\underset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\underset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{\overset{k+1}{$$

 $\rightarrow$  Time axis. tTrial axis, kDesired length

Zero compensation

Deterministic model assumption

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## #1 Problem formulation

- Motivation: Optimal ILC design for learning efficiency
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F_{k} = \begin{bmatrix} I_{N_{k}} \otimes I_{m} & 0 \\ 0 & 0_{N_{d} - N_{k}} \otimes 0_{m} \end{bmatrix}.
\end{cases} (1)$$

#### Definition 1.1

The ILC design problem is to find an ILC update law

$$u_{k+1} = f(e_k, e_{k-1}, \cdots, u_k, u_{k-1}, \cdots),$$
 (2)

for zero convergence of the modified tracking error in (1), i.e.,

$$\lim_{k\to\infty}\|e_k\|=0. \tag{3}$$

## #1 Alternating projection-based ILC using multiple sets

- Alternating projection problem ← ILC design problem
  - design a projection order to find a point in the intersection of:

$$M_j = \{(e, u) \in H : e = F_j(r - y), y = Gu\} \in \{M_1, \dots, M_J\},\ M_0 = \{(e, u) \in H : e = 0\}.$$
 (4)

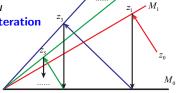
- M<sub>j</sub> system dynamics
- M<sub>0</sub> tracking objective
- Projection operator:  $P_j(z) \triangleq \arg\min_{\hat{z} \in M_j} \|\hat{z} z\|_H^2$

minimize the "distance" between a point and a set in H• Example:  $z_2 = P_0(z_1) \triangleq \arg\min_{\hat{z} \in M} \|\hat{z} - z_1\|_H^2$ 

• **Projection on**  $M_0$ : no change on u

• Projecting on  $M_j \rightarrow$  One ILC iteration

• Constraint handling: See #3



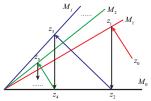
Alternating projections between multiple sets

## #1 Alternating projection-based ILC using multiple sets

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 (4)

- M<sub>j</sub> system dynamics
- M<sub>0</sub> tracking objective
- **Projection operator:**  $P_j(z) \triangleq \arg\min_{\hat{z} \in M_j} \|\hat{z} z\|_H^2$  minimize the "distance" between a point and a set in H
- Example:  $z_2 = P_0(z_1) \triangleq \arg\min_{\hat{z} \in M} \|\hat{z} z_1\|_H^2$
- **Projection on**  $M_0$ : no change on u
- Challenges
  - How to design a projection order?
  - How to implement the projection?
- Notations
  - Index sequence:  $\{j_{\bar{k}}\}_{\bar{k}>0}$  where  $j_{\bar{k}} \in \{0,1,2,\cdots,J\}$ .
  - Projection sequence:  $\{z_{\bar{k}}\}_{\bar{k}\geq 0}$  by  $z_{\bar{k}+1}=P_{j_{\bar{k}+1}}(z_{\bar{k}})$ .



- Projection order design
  - Necessary assumptions
    - Closed convex sets
    - Infinitely many times

#### Definition 1.2

The sequence  $s = \{j_{\bar{k}}\}_{\bar{k} \geq 0}$  taking i infinitely many times yields

$$\delta(s,i) = \sup_{n} \left[ \Delta_{n+1}(i) - \Delta_{n}(i) \right] < \infty, \tag{5}$$

where  $\{\Delta_n(i) \in \mathbb{N}\}_{n \geq 0}$  is an increasing sequence such that, at the n-times,  $j_{\Delta_n(i)} = i$  with  $\Delta_0(i) = 0$ .

ķ	1	2	3	4	5	6	7	8	• • •	$\delta\left(s,1 ight)$	$\delta(s,2)$	$\delta(s,3)$
j <sub>k</sub>	3	1	1	2	3	1	3	2		3	4	4

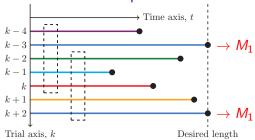
Table. Example with J = 3 until k = 8.

## Assumption 1.1

Let  $F_1$  has full row rank and  $M_J \subseteq \cdots \subseteq M_2 \subseteq M_1$ .  $M_1$  appears infinitely many times during the alternating projections between  $M_j$  and  $M_0$ , i.e.

$$\delta(s,1) = \sup_{n} \left[ \Delta_{n+1}(1) - \Delta_{n}(1) \right] < \infty.$$
 (6)

- Assumption 1.1 ← Deterministic model assumption
- Full learning property

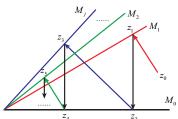


- Projection order design
  - **Necessary assumption**: Assumption 1.1 (full learning property)
  - Projection order

$$M_{j_{\bar{k}}} = \left\{ \begin{array}{l} M_j \in \{M_1, M_2, \dots, M_J\}, & \bar{k} \text{ is odd,} \\ M_0, & \bar{k} \text{ is even.} \end{array} \right.$$
 (7)

Projection sequence

$$\{z_{\bar{k}}\}_{\bar{k}\geq 0}: \begin{cases} z_{2\bar{k}+1} = P_{j_{2\bar{k}+1}}(z_{2\bar{k}}), \\ z_{2\bar{k}} = P_{j_{2\bar{k}}}(z_{2\bar{k}+1}). \end{cases}$$
(8)



Projection order design

- Projection order design
  - **Necessary assumption**: Assumption 1.1 (full learning property)
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(8)

• Convergence analysis: Alternating projections under (7).

#### Theorem 1.1

The sequence  $\{z_{\bar{k}}\}_{\bar{k}\geq 0}$  converges in norm to the orthogonal projection of  $z_0$  onto  $M_j\cap M_0$  under the projection order (7).

<sup>&</sup>lt;sup>a</sup>Zhihe Zhuang et al. "Alternating projection-based iterative learning control for discrete-time systems with non-uniform trial lengths". In: *International Journal of Robust and Nonlinear Control* (2023).

# #1 Optimal ILC algorithms

- Optimal ILC algorithm ← Projection implementation
  - ullet Projection implementation o Minimizing the cost function

$$\min \left\| P_{j_{\bar{k}+1}}(z_{\bar{k}}) - z_{\bar{k}} \right\|_{H}^{2} \to \min \ J_{k+1}. \tag{9}$$

Define H by inner product and associated induced norm:

$$(e, u) \in H = \ell_2^m [1, N] \times \ell_2^l [0, N-1],$$
 (10)

$$\langle (e, u), (y, v) \rangle_{\{Q,R\}} = \sum_{i=1}^{N_d} e^T(i) Qy(i) + \sum_{i=0}^{N_d-1} u^T(i) Rv(i),$$
 (11)

$$\|(e,u)\|_{\{Q,R\}} = \sqrt{\langle (e,u), (e,u)\rangle_{\{Q,R\}}}, \ Q \succ 0, \ R \succeq 0.$$
 (12)

• Optimal ILC update law  $\leftarrow J_{k+1} = \|e_{k+1}\|_Q^2 + \|u_{k+1} - u_k\|_R^2$ 

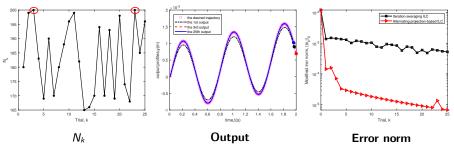
$$u_{k+1} = u_k + Le_k, \tag{13}$$

where 
$$L = (G^{\mathrm{T}}QG + R)^{-1}G^{\mathrm{T}}Q$$
.

#### Transfer function

$$G(s) = \frac{15.8869(s + 850.3)}{s(s^2 + 707.6s + 3.377 \times 10^5)},$$
 (14)

- Sampling time 0.01s, operation time 2s, desired length  $N_d = 200$ .
- Set  $N_k \sim U(165, 200)$  where  $\delta(s, 1) = 20$ ,  $N_3 = 200$ , and  $N_{23} = 200$ .



## #1 Summary

- Advantages
  - Optimal design without learning gain tuning
    - ullet Weighting parameters Q and R vs. Arimoto-type learning gain
  - Straightforward but effective mechanisms
    - Zero compensation
    - Most recent one-order learning by lifted framework
  - Convergence guarantee under alternating projections
- Insights
  - Special case: NOILC for linear systems with varying trial lengths
  - Allow more design freedom: More numerial optimization methods
  - Extensions to other non-repetitive ILC problems
    - Trial-varying tracking references
    - Nonidentical initial state
    - Trial-varying system plant
    - .....

- Iterative learning control
- 2 Varying trial length problem
- 3 Alternating projection-based ILC
  - #0 Alternating projections in Hilbert space
  - #1 Alternating projection-based ILC using multiple sets
  - #2 Stochastic-optimization ILC via alternating projections
  - #3 Constraint-aware ILC via alternating projections
- 4 Conclusion and Future work
- 5 Acknowledgments

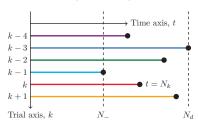
## #2 Problem formulation

- Motivation: Optimal ILC design using probability information
- Lifted system with varying trial lengths

$$\begin{cases}
y_{k} = Gu_{k}, u_{k} \in \ell_{2}^{I}[0, N-1], y_{k} \in \ell_{2}^{m}[1, N], \\
e_{k} = F_{k}(r-y_{k}), \\
F_{k} = \begin{bmatrix} I_{N_{k}} \otimes I_{m} & 0 \\ 0 & 0_{N_{d}-N_{k}} \otimes 0_{m} \end{bmatrix}.
\end{cases} (15)$$

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• Random variable  $N_k \sim \mathcal{D}(N_-, N_d)$ 



Stochastic model

- Motivation: Optimal ILC design using probability information
- Lifted system with varying trial lengths

$$\begin{cases}
y_{k} = Gu_{k}, u_{k} \in \ell_{2}^{I}[0, N-1], y_{k} \in \ell_{2}^{m}[1, N], \\
e_{k} = F_{k} (r - y_{k}), \\
F_{k} = \begin{bmatrix} I_{N_{k}} \otimes I_{m} & 0 \\ 0 & 0_{N_{d} - N_{k}} \otimes 0_{m} \end{bmatrix}.
\end{cases} (15)$$

- Random variable  $N_k \sim \mathcal{D}(N_-, N_d)$ 
  - $P(N_k = N_i) = p_i$  where  $\sum_{i=1}^{N_d N_- + 1} p_i = 1$ .
  - Stochastic information used: Mathematical expectation of  $F_k$

$$\bar{F} \triangleq E\{F_k\} 
= \operatorname{diag} \left\{ \overbrace{1, \cdots, 1}^{N_- - 1}, p(N_k = N_-), \cdots, p(N_k = N_d) \right\} \otimes I_m.$$
(16)

# #2 Stochastic-optimization ILC via alternating projections

#### Definition 2.1

The ILC design problem is to find an ILC update law

$$u_{k+1} = f(e_k, e_{k-1}, \cdots, u_k, u_{k-1}, \cdots),$$
 (17)

such that  $\lim_{k\to\infty} \|\mathbf{E}\{e_k\}\| = 0$ .

Alternating projection problem ← ILC design problem

$$M_1 = \{(\underline{e}, u) \in H_E : \underline{e} = \underline{E}\{F(r - y)\}, y = Gu\}, \qquad (18)$$

$$M_0 = \{(\underline{e}, u) \in H_E : \underline{e} = 0\},$$

 $M_1$  (19)

$$H_E = \ell_2^m [1, N] \times \ell_2^l [0, N-1]$$

(20)

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Alternating projections between two sets

# #2 Stochastic-optimization ILC algorithm

- Stochastic-optimization ILC algorithm
  - $\bullet$  Projection implementation  $\to$  Minimizing the cost function

$$\min \|z_{\bar{k}+1} - z_{\bar{k}}\|_{H_{\bar{k}}}^{2} = \min J_{\bar{k}+1}^{\bar{k}}$$
 (21)

Define the Hilbert space H<sub>E</sub>:

$$\langle (\underline{e}, u), (\underline{e}, v) \rangle_{\{Q,R\}} = \underline{e}^T Q \underline{z} + u^T R v,$$
 (22)

$$\|(\underline{e},u)\|_{\{Q,R\}} = \sqrt{\langle (\underline{e},u), (\underline{e},u)\rangle_{\{Q,R\}}}, \ Q \succ 0, \ R \succeq 0. \quad (23)$$

• Stochastic-optimization ILC  $\leftarrow J_{k+1}^E = \|E\{e_{k+1}\}\|_Q^2 + \|u_{k+1} - u_k\|_R^2$ 

#### Theorem 2.1

Minimizing  $J_{k+1}^E$  has a feedforward solution

$$u_{k+1} = u_k + L_E e_k, (24)$$

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where  $L_E = \left(G^{\mathrm{T}} \mathcal{K} G + R\right)^{-1} G^{\mathrm{T}} \bar{F}^{\mathrm{T}} Q$  and  $\mathcal{K} = E\left\{F_k^{\mathrm{T}} Q F_k\right\}$ .

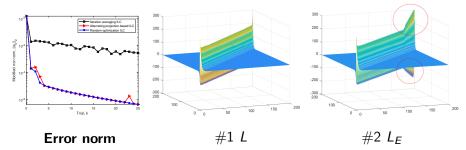
<sup>&</sup>lt;sup>a</sup>Zhihe Zhuang et al. "Iterative learning control for repetitive tasks with randomly varying trial lengths using successive projection". In: Int. J. Adapt. Control Signal Process. (2022).

#### Transfer function

$$G(s) = \frac{15.8869(s + 850.3)}{s(s^2 + 707.6s + 3.377 \times 10^5)},$$
 (25)

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- Sampling time 0.01s, operation time 2s, desired length  $N_d = 200$ .
- Set  $N_k \sim U(165,200)$  where  $\delta(s,1)=20$ ,  $N_3=200$ , and  $N_{23}=200$ .



Hongfeng Tao Jiangnan University August 7, 2024

# #2 Summary

## Advantages

- Optimal design without learning gain tuning
  - Weighting parameters Q and R vs. Arimoto-type learning gain
- Straightforward but effective mechanisms
  - Zero compensation
  - Most recent one-order learning by lifted framework
- Convergence guarantee under alternating projections
- Further optimization using probility information

## Insights

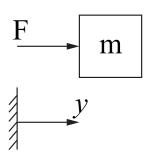
- More information used for optimization
- Modified weights in learning gain
- Extensions to other stochastic factors
  - Non-repetitive disturbances with known probility information
  - . . . . . . .

- Iterative learning control
- 2 Varying trial length problem
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  - #3 Constraint-aware ILC via alternating projections
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- 5 Acknowledgments

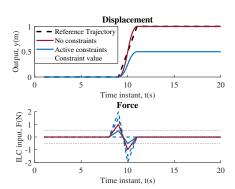
# #3 Why constraint-aware ILC?

#### • Why constraint-aware ILC?

- Mass example
- Issues
  - Integral windup in iteration domain
  - Lower learning efficiency



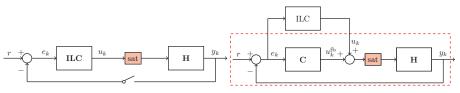
Mass example



Input and output

### #3 Why constraint-aware ILC?

- Why constraint-aware ILC?
  - Mass example
  - Issues
    - Integral windup in iteration domain
    - Lower learning efficiency
  - Solution: Enable ILC with constraint awareness
- Input constraints: Direct ILC<sup>5,6,...</sup> vs. Indirect ILC (separately)<sup>7,8,...</sup>



Direct ILC design

Indirect ILC design

<sup>&</sup>lt;sup>5</sup>Ronghu Chi et al. "Constrained data-driven optimal iterative learning control". In: *J. Process Control* (2017).

<sup>&</sup>lt;sup>6</sup>Matthew C Turner et al. "Anti-windup compensation for a class of iterative learning · · · ". In: 2023 ACC. IEEE. 2023.

<sup>7</sup>Sandipan Mishra et al. "Optimization-based constrained iterative · · · ". In: IEEE Trans. Control Syst. Technol. (2010).

Sandipan Mishra et al. "Optimization-based constrained iterative ...". In: IEEE Trans. Control Syst. Technol. (2010). Gijio Sebastian et al. "Convergence analysis of feedback-based iterative learning control ...". In: Automatica. (2019).

### #3 Problem formulation

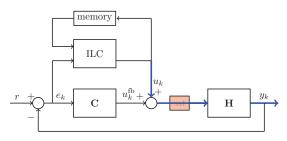
• **Process sensitivity**  $u_k \rightarrow y_k$  (without constraints):

$$y_k = Gu_k, (26)$$

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where the input constraint for ILC  $u_k \in \Omega_{\mathrm{ff}}$  is unknown subject to:

- Actuator constraints
- Extra non-repetitive disturbances:  $u_k + u_k^{\text{fb}} \in \Omega$



Closed-loop control block diagram

# #3 Problem formulation

• **Process sensitivity**  $u_k \rightarrow y_k$  (without constraints):

$$y_k = Gu_k, (26)$$

where the input constraint for ILC  $u_k \in \Omega_{\text{ff}}$  is unknown subject to:

- Actuator constraints
- Extra non-repetitive disturbances:  $u_k + u_k^{\text{fb}} \in \Omega$

#### Definition 3.1

The ILC design problem is to find a suitable  $\Omega_{\rm ff}$  to solve the constrained optimization problem

$$\min_{u_{k+1} \in \Omega_{ff}} J_{k+1}(u_{k+1}) 
s.t. e_{k+1} = r - Gu_{k+1},$$
(27)

to find an ILC algorithm generating ILC input sequence  $\{u_{k+1}\}_{k\geq 0}$  such that  $e_{k+1}$  converges as k increases.

### #3 Constraint-aware ILC via alternating projections

- ◆ Alternating projection problem ← ILC design problem
  - Find two points minimizing the distance between

$$M_1 = \{(e, u) \in H : e = r - y, y = Gu\},$$
 (28)

$$M_0 = \{(e, u) \in H : e = 0, u \in \Omega_{\mathrm{ff}}\},$$
 (29)

- Which set we put  $u \in \Omega_{\mathrm{ff}}$ ?
  - $M_1$ : complex constrained optimization problem  $\min_{u \in \Omega_{\mathrm{ff}}} J_{k+1}$
  - $M_0$ : unconstrained optimization problem  $\min_{\hat{u}} J_{k+1}$ , and  $u = P_{\Omega_{\mathrm{ff}}}(u)$

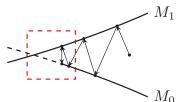


Illustration of alternating projections with input constraints

<sup>9</sup>Bing Chu et al. "Iterative learning control for constrained linear systems". In: *International Journal of Control* (2010).

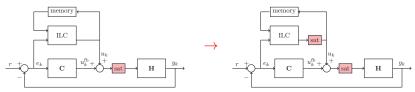
### #3 Constraint-aware ILC via alternating projections

- Alternating projection problem ← ILC design problem
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$$M_1 = \{(e, u) \in H : e = r - y, y = Gu\},$$
 (28)

$$M_0 = \{(e, u) \in H : e = 0, u \in \Omega_{\text{ff}}\},$$
 (29)

- Which set we put  $u \in \Omega_{\mathrm{ff}}$ ?
- Chanlleges
  - How to settle  $\Omega_{\rm ff}$  with respect to  $\Omega$ ? (Soft constraints?)
  - How to analyze the learning efficiency?



Traditional ILC under constraints

Constraint-aware ILC

# #3 Constraint-aware ILC design

- Constraint-aware ILC design
  - ullet Projection implementation o Minimizing the cost function

$$\min \|z_{\bar{k}+1} - z_{\bar{k}}\|_{H_c}^2 = \min_{u_{k+1} \in \Omega_{\mathrm{ff}}} J_{k+1}(u_{k+1}).$$
 (30)

• Define the Hilbert space  $H_C$ :

$$(e, u) \in H_C = \ell_2^m [1, N] \times \ell_2^l [0, N-1],$$
 (31)

$$\langle (e, u), (e, v) \rangle_{\{Q,R\}} = e^T Q z + u^T R v, \tag{32}$$

$$\|(e,u)\|_{\{Q,R\}} = \sqrt{\langle (e,u), (e,u)\rangle_{\{Q,R\}}}, \ Q \succ 0, \ R \succeq 0.$$
 (33)

Constraint-aware ILC update law

$$u_{k+1} = P_{\Omega_{ff}} \left( f \left( P_{\Omega_{ff}} \left( u_k \right), e_k \right) \right), \tag{34}$$

where  $P_{\Omega_{\mathrm{ff}}}\left(\cdot\right)$  is the projection operator and  $f\left(\cdot\right)$  is the solution of (30).

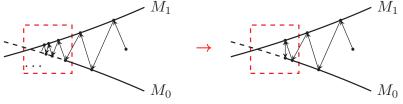
# #3 Constraint-aware ILC analysis

#### Learning efficiency analysis

#### Theorem 3.1

Given the constraint set  $\Omega$ , applying the constraint-aware ILC (34) yields the tracking error  $e_k$  converging with at most  $\mathcal{K}+1$  trials under actuator saturation constraints, where for any initial point  $z_0=(e_0,u_0)$  in  $H_C$  and some  $\alpha\in(0,1)$ ,

$$\mathcal{K} = \left\lfloor \log_{1-\alpha^2} \left( \frac{\operatorname{dis}(M_1, M_0)}{\operatorname{dis}(z_0, M_0)} \right) \right\rfloor. \tag{35}$$



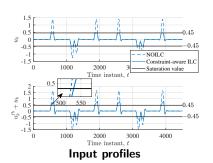
Traditional ILC under constraints

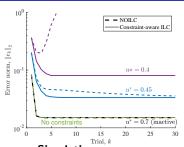
Constraint-aware ILC

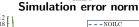
# #3 Case study

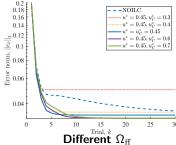
#### Simulation results

- Stabilizing feedback controller
- Compared to NOILC
- Input profiles
- Different choice of  $\Omega_{\rm ff}$









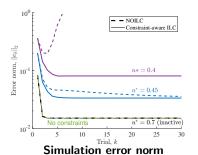
# #3 Case study

#### Simulation results

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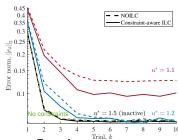
#### Experimental results

Desktop printer





Desktop printer



Experiment error norm

# #3 Summary

- Advantages
  - Restrictions on the learning of ILC against instability
  - Constraint-aware design for improved learning efficiency
- Insights
  - Indirect ILC architecture for constraint-aware design
  - Handling ILC input constraints in practice
  - Linear design for non-linear dynamics (constraint non-linearity)
- Application scenarios
  - Piezo-stepper actuator for nano-manufacturing
  - Upper limb rehabilitation
  - . . . . . .

### Outline

- 1 Iterative learning control
- 2 Varying trial length problem
- 3 Alternating projection-based ILC
- 4 Conclusion and Future work
- 5 Acknowledgments

### Conclusion and Future work

#### Conclusion

- Optimal ILC for constrained systems with varying trial lengths
- Constraint-aware ILC for practical input constraints
- Improved learning efficiency via alternating projections

#### Future work

- Non-linear systems
- Direct data-based perspective
- Reinforcement learning-enabled design
- Practical applications

### Outline

- Iterative learning control
- 2 Varying trial length problem
- 3 Alternating projection-based ILC
- 4 Conclusion and Future work
- 6 Acknowledgments

# Acknowledgments



Zhihe Zhuang



Max van Meer



Tom Oomen















Yiyang Chen



Eric Rogers



Wojciech Paszke

### DDCLS2025



Welcome to DDCLS2025 at Jiangnan University, Wuxi